



**SUFFOLK COUNTY  
OFFICE OF THE COMPTROLLER**

**Joseph Sawicki, Jr.  
Comptroller**

A Compilation and Analysis of  
**CONTRACT AGENCY  
DISCLOSURE FORM DATA**  
For the 2015 Budget Evaluation Process

**Report No. 2014-12  
Date Issued: October 6, 2014**

**SUFFOLK COUNTY  
OFFICE OF THE COMPTROLLER**

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## **EXECUTIVE SUMMARY**

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### **Introduction:**

In accordance with Suffolk County Local Law 18-2013, the Suffolk County Comptroller's Office performed a compilation and analysis of the Contract Agency Disclosure Form data submitted to the Department of Audit and Control by various contract agencies. The Comptroller's Office compiled and analyzed the data provided by the various contract agencies for the 2013 and 2014 budget years. The data provided on the Contract Agency Disclosure Forms was not audited, and the analyses provided are solely for informational purposes.

### **Purpose:**

The purpose of our review was to compile and analyze Contract Agency Disclosure Form data in order to provide the Suffolk County Legislature and the Budget Review Office with various analyses of the data. These analyses were prepared using the information provided by county departments and contract agencies and are not intended to be a presentation in conformity with generally accepted accounting principles. The objectives of these analyses included, but were not limited to: interpreting the data reported by the contract agencies and identifying situations through data analysis that may require further review; evaluating the data reported by the contract agencies to determine whether total administrative expenses are greater than 20% of the agency's total program expenses; identifying agencies with employee salaries which appear to be excessive; identifying agencies that failed to comply with the request for Disclosure Form data.

### **Summary of Significant Findings:**

- Our analysis of the contract agency data provided by County departments revealed that the County expends a substantial amount of money on non-mandated programs (p. 9).
- Our compilation and analysis revealed that there were 6 contracts in the 2015 Recommended Budget that were not justified by the responsible County Department (Schedule 1).
- Eleven of the 479 disclosure forms mailed to contract agencies were not returned to the Audit Division (Schedule 2).
- Seven contract agencies reported total administrative expenses that were greater than 20% of the agency's total reported program expenses (Schedule 3).
- Our review identified 31 records in the database where program revenue reported on a disclosure form exceeded program expenses by \$20,000 or more (Schedule 4).
- Our review identified 46 agencies which reported total Agency revenues in excess of total Agency expenses by \$100,000 or more (Schedule 5).

- Our review identified 45 contract agencies for which the reported employee salary information (salaries not necessarily charged to the County-funded program) disclosed at least one individual who earned \$150,000 or more per year (Schedule 6).
- Our review identified 3 contracts for which the requested 2015 contract amount was less than \$5,000 (Schedule 7).
- Our analysis of the data provided by County departments regarding the 2015 (requested) budget disclosed 11 contracts for which the net budgeted cost to the County would be greater than \$500,000 (Schedule 8).

**Summary of Significant Recommendations:**

- All County Departments should review the programs they administer and judiciously evaluate the necessity for each of the department's current and future contracts.
- The responsible County Departments related to the agencies identified on Schedule 1 (p. 15) should complete a Contract Agency Evaluation Form and provide justification to the Legislature prior to the contracts being approved for funding in the 2015 Operating Budget.
- County funds will not be released to any of the contract agencies identified on Schedule 2 (p. 16) until the agencies have complied with the provisions of Suffolk County Local Law 18-2013. Funding will only be restored through a stand-alone resolution after all of required information has been submitted to the Department of Audit and Control.
- The 7 contract agencies identified on Schedule 3 (p. 17) are not eligible for any county-funding in any subsequent fiscal year unless such funding is approved by a separate, standalone resolution or procedural motion of the Suffolk County Legislature in accordance with Section C4-35 of the Suffolk County Charter.
- The administering county departments should require agencies to submit their most recent financial statement prior to the final negotiation of contracts and should review these financial statements to determine the financial condition of the agencies and to identify alternate funding sources available to the agencies.
- Not-for-profit agencies which consistently generate a profit should be reassessed by the administering county departments to determine if County-funding of these agencies is warranted or if the level of County-funding should be decreased.
- The county departments responsible for administering the contracts identified on Schedule 6 (p. 20) should determine if these salaries are being charged under the county contracts they administer and if a disproportionate amount of salary expense is being charged to the County.

- Contracts should not be awarded for amounts of less than \$5,000 as stipulated by Suffolk County Local Law 13-2007.
- The contracts identified on Schedule 8 (p. 24) should be closely monitored by the administering county departments since they comprise approximately 41% of the net cost to the County for all 2015 contract amounts requested.

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## BACKGROUND

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The compilation and analysis of contract agency financial data was performed pursuant to Suffolk County Local Law 18-2013. Various County departments completed Departmental Contract Agency Evaluation forms and submitted the forms to the County Executive's Budget Office. The Departments submitted their data electronically through a website maintained on the County's intranet site. The data was exported to an Access database which was then used to create this report. The following table presents the contract funding data submitted by the Departments and reported to the Division for the period 2013-2015:

Dept.	2013 Actual Contract Amount	2013 Actual Net County Cost	2014 Estimated Contract Amount	2014 Estimated Net County Cost	2015 Requested Contract Amount 1	2015 Requested Net County Cost
DPW	\$ 39,336,343	\$ 39,271,197	\$ 42,188,046	\$ 42,188,046	\$ -	\$ -
DSS	8,815,163	1,944,021	9,369,336	2,036,099	8,620,177	1,621,286
EDP	4,398,438	4,397,639	4,399,707	4,399,707	3,273,989	3,273,989
EXE	7,299,804	5,121,383	7,222,662	4,818,507	6,898,401	4,426,612
FRE	1,901,732	1,901,732	1,935,733	1,935,733	1,935,733	1,935,733
HSV	63,144,607	17,325,923	62,226,073	19,843,295	36,838,829	8,187,289
LAB	19,000	19,000	19,000	19,000	19,000	19,000
LAW	75,000	-	75,000	-	-	-
PKS	257,289	257,289	308,444	308,444	306,444	306,444
POL	973,771	870,831	1,176,565	1,176,565	1,185,361	1,185,361
PRO	3,711,107	2,278,145	3,931,708	2,539,310	2,770,686	1,871,869
Total	\$ 129,932,254	\$ 73,387,160	\$ 132,852,274	\$ 79,264,706	\$ 61,848,620	\$ 22,827,583

(See Notes to Schedules, p. 25, Note 2)

The Suffolk County Legislature required additional contract agency information that was not contained on the Departmental Contract Agency Evaluation Forms, such as, total revenue received for the program, specific salary information and other data that would assist in determining the effectiveness and efficiency of various programs funded by Suffolk County. Therefore, the Audit Division utilized a four-page form titled "Contract Agency Disclosure Form" in order to collect this data directly from the various contract agencies. A Disclosure Form was created for every contract included on the Departmental Contract Agency Evaluation Forms. The Disclosure Forms were mailed directly to the contract agencies on June 20, 2014.

There were numerous forms received which contained erroneous, irrelevant and incomplete data. These Contract Agency Disclosure Forms were corrected if the errors or omissions were obvious; otherwise, the agencies were contacted directly by Audit Division staff members in an attempt to obtain more accurate and relevant data. An extensive effort was made to contact all agencies who did not comply with the August 15, 2014 deadline. All agencies that failed to

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1 The Department has issued an RFP for bus services and is unable to determine 2015 requested contract amounts as of the report date.

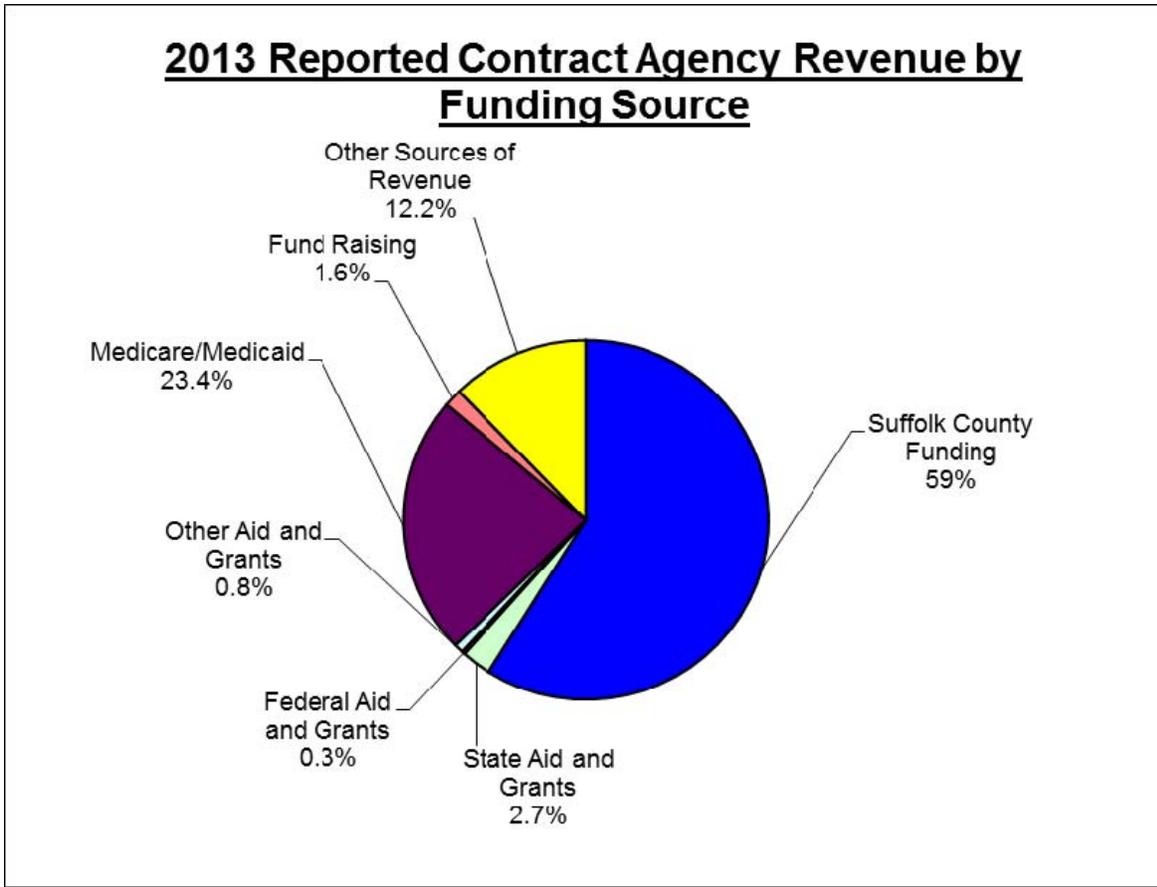
return the Disclosure Form by September 24, 2014 were not included in the Access database. This database was used to compile all of the data submitted by contract agencies on their Contract Agency Disclosure Forms. The compiled data was then subject to various analyses in an attempt to assist the Suffolk County Legislature and the Budget Review Office in their budgetary evaluation process.

As a result of compiling the data from the 468 Disclosure Forms submitted to the Audit Division, we determined that County-funding of these 468 contracts represented approximately 59% of the total revenue reported by the contract agencies for these programs (Chart, p. 6). Suffolk County funding, federal aid, state aid and other revenue reported for these contracts were as follows:

<u>Source of Funding</u>	<u>2013 (Actual)</u>	<u>2014 (Estimate)</u>
Suffolk County Funding*	\$ 118,412,385	\$ 123,008,304
State Aid and Grants	5,334,535	5,029,143
Federal Aid and Grants	583,997	618,291
Other Aid and Grants	1,626,501	1,686,546
Medicare/Medicaid	47,021,410	45,355,115
Fund Raising	3,199,521	3,126,847
Other Sources of Revenue	24,632,726	24,050,216
Total Revenues Reported	<u>\$ 200,811,075</u>	<u>\$ 202,874,462</u>

\* (Incl. Federal and State Aid Pass Through)

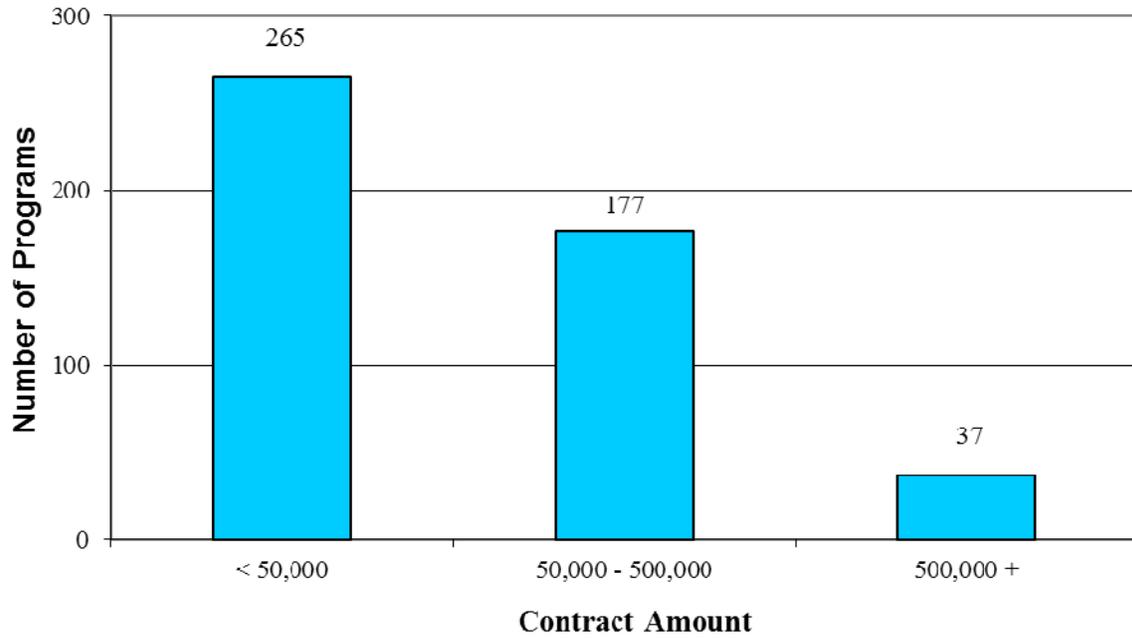
In addition to County-funding, agencies reported receiving approximately 4% of their funding directly from federal, state and local grants. The remaining revenue categories represent approximately 37% of the contract agencies' program funding.



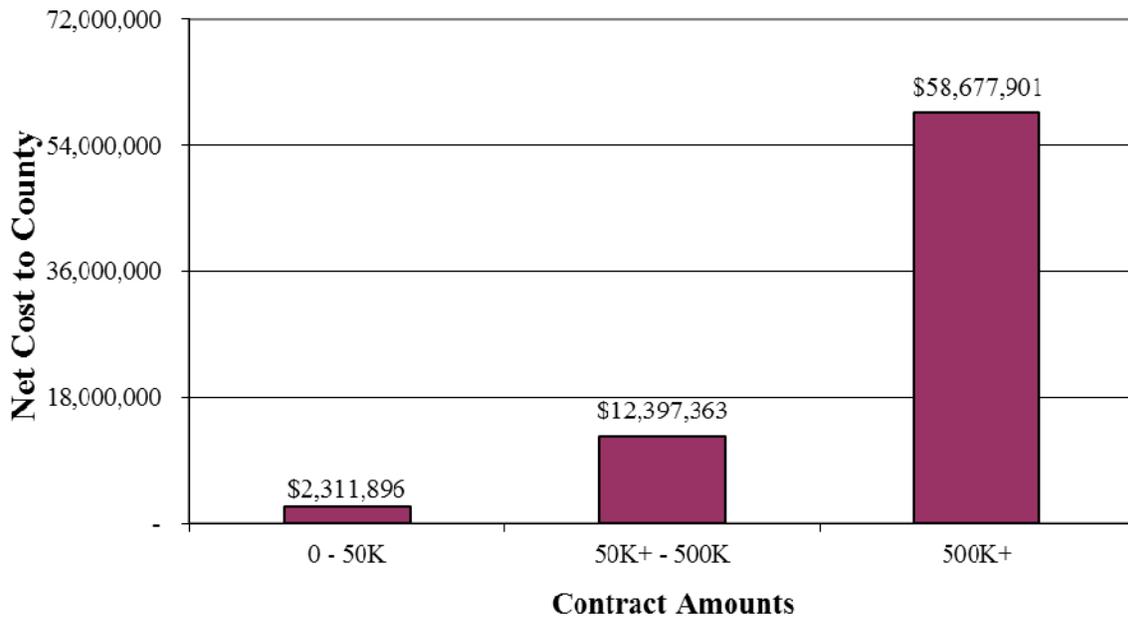
Total expenses reported on the 468 Disclosure Forms submitted to the Audit Division were \$200,739,223 for 2013 and \$205,803,197 for 2014.

Our analysis revealed that 265 of the 479 contracts (55%) entered into the Contract Agency database had a total contract budget of less than \$50,000 and a net cost to the County of \$2,311,896. Our analysis further found that 177 of the 479 contracts (37%) had a total contract budget from \$50,000 to \$500,000 with a total net cost to the County of \$12,397,363; and 37 of the 479 contracts (8%) had a total contract budget of \$500,000 or more with a total net cost to the County of \$58,677,901 (Charts, p. 7).

**2013 Total Number of Programs by Contract Amount**



**2013 Net Cost to County by Contract Amount**



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## SCOPE AND METHODOLOGY

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- Designed a “Contract Agency Disclosure Form” and created a related Microsoft Access database that summarized the information provided by each contract agency.
- Printed and mailed 479 Contract Agency Disclosure Forms to agencies funded by the County.
- Performed data entry of the 468 Contract Agency Disclosure Forms that were returned to the Audit Division.
- Performed various analyses to determine the accuracy and reliability of data on the disclosure forms received from the contract agencies.
- Adjusted data recorded in the Microsoft Access database to reflect any changes that could be accurately verified through direct contact with contract agencies or through other means. It should be noted however, that due to the high volume of data exceptions and the time constraints imposed as a result of budgetary deadlines, we could not assure the reliability of the data provided by the contract agencies.

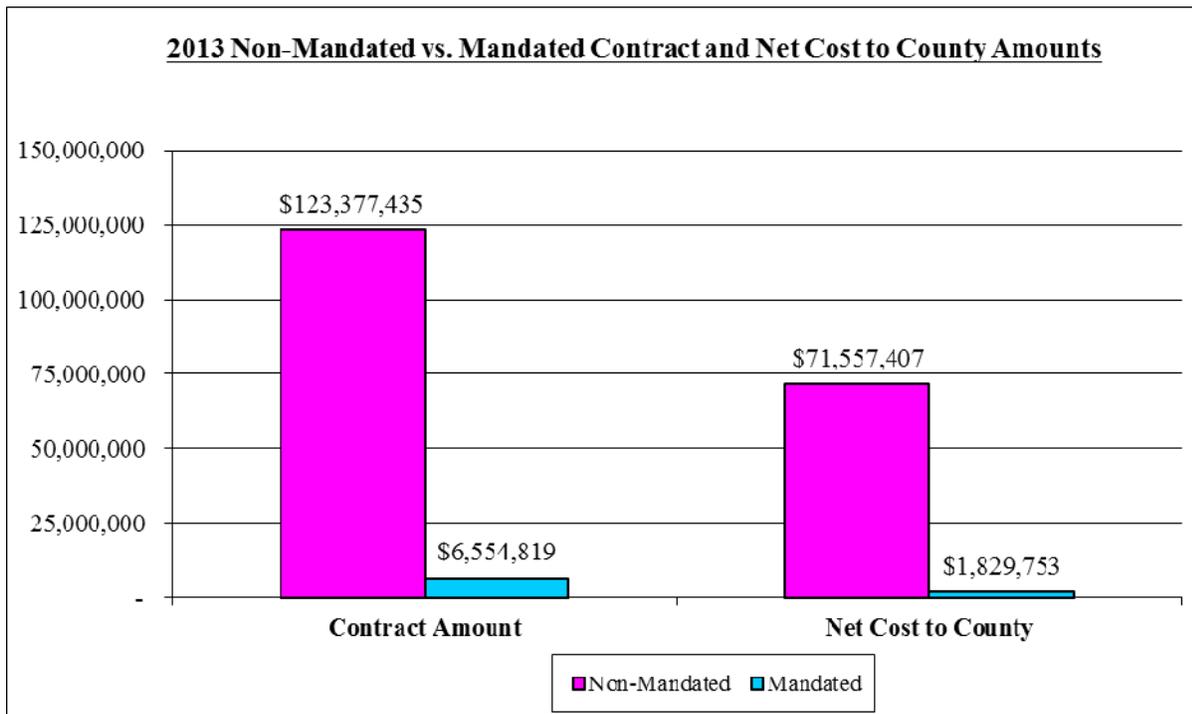
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## AUDIT RESULTS

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**Our analysis of the contract agency data provided by County departments revealed that the County expends a substantial amount of money on non-mandated programs.** Of the 479 contracts entered into our database, we found that 445 contracts were for non-mandated programs and 34 were for mandated programs. As illustrated in Chart 1 below, contract amounts for non-mandated programs during 2013 totaled \$123,377,435 with a net cost to the County of \$71,557,407, while mandated programs totaled \$6,554,819 with a net cost to the County of \$1,829,753.

**Chart 1**



Although a large percentage of County-funding that is spent for non-mandated programs is reimbursed to the County through federal and state aid, the net cost of these programs to the County is still significant and the opportunity may exist to reduce or eliminate funding to non-mandated programs.

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**Our compilation and analysis revealed that there were 6 contracts in the 2015 Recommended Budget that were not justified by the responsible County Department (Schedule 1).** An All-Department-Heads-Memorandum (ADHM) from the County Executive dated May 7, 2014 pertaining to the 2015 Operating Budget Requests specifically states, "Pursuant to Section 4-5 of the Suffolk County Charter, all County departments and agencies are required to submit to the County Executive's Budget Office estimates of revenues and expenditures for the fiscal year beginning January 1, 2015. Incorporated in the 2015 Budget Request is the Contract Agency Evaluation Form. This form MUST be submitted for every

contract agency (defined as agencies funded from objects 4770 and 4980) that is funded in the Operating Budget. Contract agencies that are not justified will not be recommended in the 2015 budget.”

A Contract Agency Evaluation Form was not completed by the responsible County Departments for the agencies identified on Schedule 1 (p. 15); therefore, a Contract Agency Disclosure Form was not generated for these agencies and no data was included in our database for analysis.

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**Eleven of the 479 Disclosure Forms mailed to contract agencies were not returned to the Audit Division (Schedule 2).** Suffolk County Local Law 18-2013, Section 189-66 (B and C) specifically states, “All contract agencies must submit their completed disclosure form, their most recent audited financial statements or a financial report for the agency’s most recent fiscal year, and a schedule of all employees and their salaries for the previous calendar year to the Department of Audit and Control no later than September 15<sup>th</sup> each year. A contract agency’s failure to submit its disclosure form and/or any other required document shall constitute noncompliance. **A noncompliant contract agency shall not receive funding for any of its programs in the subsequent year’s operating budget.** Funding may be restored through a standalone resolution only after all required information has been submitted.”

Disclosure Forms were created for every record that was generated from the Departmental Contract Agency Evaluation Forms submitted to the Budget Office, and were mailed directly to the contract agencies by June 20, 2014. All contract agencies were instructed to complete and return the Disclosure Forms to the Audit Division by August 15, 2014. All agencies that had not responded by the deadline were contacted by the Audit Division to remind them of the reporting requirement and to advise them to submit the required information. All forms that were received on or before September 24, 2014 were included in the database.

The 11 contracts for which disclosure forms were not submitted are summarized by Department below, and are detailed on Schedule 2. Furthermore, the **Shinnecock Nation Cultural Center & Museum** (Activity Code JPV1) has not complied with the request for information in multiple years (2013 and 2014).

Department*	Number of Contracts	2013 Actual Contract Amount	2014 Estimated Contract Amount	2015 Requested Contract Amount
EDP	1	\$ 5,249	\$ 10,000	\$ -
EXE	3	52,677	61,150	33,650
HSV	2	11,404,324	9,747,820	552,972
PKS	4	18,000	25,000	25,000
PRO	1	40,289	-	-
Totals	11	\$ 11,520,539	\$ 9,843,970	\$ 611,622

(\* See Notes to Schedules, p. 25, Note 2)

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**Seven contract agencies reported total administrative expenses that were greater than 20% of the agency's total reported program expenses (Schedule 3).** The percentage of administrative expenses in all instances appears to be excessive. Suffolk County Local Law 13-2007 states that no contract agency which has "incurred total administrative expenses greater than 20% of reported total agency program expenses in a fiscal year shall be eligible for funding by the County of Suffolk from County funds, in any subsequent fiscal year, unless such funding is approved by a separate, stand alone resolution or procedural motion of the Suffolk County legislature. Such a resolution will require a two-thirds vote of the full membership of the Legislature for approval."

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**Our review identified 31 records in the database where program revenue reported on a disclosure form exceeded program expenses by \$20,000 or more (Schedule 4).** Upon analyzing the data, we determined that if reported program revenue was greater than reported program expenses the County could be presented with an opportunity to reduce funding and yet not affect program services. Additionally, if the agencies are receiving revenue from other sources there may be an opportunity for a further reduction of County-funding.

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**Our review identified 46 agencies which reported total Agency revenues in excess of total Agency expenses by \$100,000 or more (Schedule 5).**

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**Our review identified 45 contract agencies for which the reported employee salary information (salaries not necessarily charged to the County-funded program) disclosed at least one individual who earned \$150,000 or more per year (Schedule 6).** A total of 130 employees of these 45 contract agencies were reported to have earned in excess of \$150,000 or more per year.

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**Our review identified 3 contracts for which the requested 2015 contract amount was less than \$5,000 (Schedule 7).** Suffolk County Local Law 13-2007, Section 189-41 requires all County contracts with contract agencies to be for an amount of at least \$5,000.

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**Our analysis of the data provided by County departments regarding the 2015 (requested) budget disclosed 11 contracts for which the net budgeted cost to the County would be greater than \$500,000 (Schedule 8).** These contracts combined represent a net cost to the County of \$9,390,378.

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## RECOMMENDATIONS

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- All county departments should review the programs they administer and judiciously evaluate the necessity for each of the department's current and future contracts.
- The responsible County Departments related to the agencies identified on Schedule 1 (p. 15) should complete a Contract Agency Evaluation Form and provide justification to the Legislature prior to the contracts being approved for funding in the 2015 Operating Budget.
- County funds will not be released to any of the contract agencies identified on Schedule 2 (p. 16) until the agencies have complied with the provisions of Suffolk County Local Law 18-2013. In addition, funds will not be released to any of these agencies for any of its programs in the subsequent year's operating budget until the required information has been submitted to the Department of Audit and Control and a stand-alone resolution has been executed.
- The 7 contract agencies identified on Schedule 3 (p. 17) are not eligible for any county-funding in any subsequent fiscal year unless such funding is approved by a separate, standalone resolution or procedural motion of the Suffolk County Legislature in accordance with Section C4-35 of the Suffolk County Charter.
- The administering county departments should require agencies to submit their most recent financial statement prior to the final negotiation of contracts and should review these financial statements to determine the financial condition of the agencies and to identify alternate funding sources available to the agencies.
- Not-for-profit agencies which consistently generate a profit should be reassessed by the administering county departments to determine if County-funding of these agencies is warranted or if the level of County-funding should be decreased.
- The county departments responsible for administering the contracts identified on Schedule 6 (p. 20) should determine if these salaries are being charged under the county contracts they administer and if a disproportionate amount of salary expense is being charged to the County.
- Contracts should not be awarded for amounts of less than \$5,000 as stipulated by Suffolk County Local Law 13-2007.
- The contracts identified on Schedule 8 (p. 24) should be closely monitored by the administering county departments since they comprise approximately 41% of the net cost to the County for all 2015 contract amounts requested.

This report is intended solely for the information and use of the Suffolk County Legislators, Budget Review Office personnel, and responsible Suffolk County Officials, and is not intended to be and should not be used by anyone other than these specified parties. However, this report is available for public inspection.

## **SCHEDULES**

Schedule 1

Contract Agency Disclosure Review  
Contract Agencies Not Justified but Included in 2015 Recommended Operating Budget  
For the 2013/2014 Reporting Period

Dept.	Activity Code	Contractor Name	2015 Contract Amount Requested	2015 Contract Amount Recommended
EXE	HIV1	Pronto of Long Island	\$ -	\$ 9,000
EXE	HZW1	S.A.G.E. Long Island	50,000	125,000
MSC	JGH1	Suffolk County Historical Society	329,283	329,283
EXE	JRR1	The Guidance Center Services, Inc.	-	5,000
EXE	JRS1	The Disability Opportunity Fund	-	40,000
MSC	JGI1	Walt Whitman Birthplace	138,641	138,641
			<u>\$ 517,924</u>	<u>\$ 646,924</u>

See Notes to Schedules (p. 25)

Schedule 2

Contract Agency Disclosure Review  
Noncompliant Agencies  
For the 2013/2014 Reporting Period

Notes	Control Control	Activity Code	Dept.	Contractor Name	2013 Actual Contract Amount	2014 Estimated Contract Amount
(4)	22999	JCW1	PKS	Babylon Town Historical Society	\$ 10,000	\$ 5,000
	23089	JOI1	HSV	Daytop Village, Inc.	552,972	552,972
	22925	HFJ1	EXE	Gerald J. Ryan Outreach Center	25,000	22,500
	23391	JFG1	EXE	Helping Hands Rescue Mission	-	5,000
	23061	JKZ1	EDP	Long Island Latino Teachers Association, Inc.	5,249	10,000
	23449		PRO	SCS Support Claims Services, Inc.	40,289	-
	22527		EXE	Shinnecock Indian Tribe	27,677	33,650
	23101	JPV1	PKS	Shinnecock Nation Cultural Center & Museum	-	5,000
(4)	23415	JRJ1	PKS	Southold Historical Society	-	5,000
	23471	AJK1	HSV	Southside Hospital	10,851,352	9,194,848
	23081	JNV1	PKS	The Bellport-Brookhaven Historical Society	8,000	10,000
					<u>\$ 11,520,539</u>	<u>\$ 9,843,970</u>

See Notes to Schedules (p. 25)

Contract Agency Disclosure Review  
Agencies with Administrative Expenses  
Greater than 20% of Total Agency Program Expenses  
For the 2013/2014 Reporting Period

<u>Contract Agency</u>	2013 Total Reported Administrative Expenses	2013 Total Reported Agency Program Expenses	2013 Total Administrative Expenses as a % of Total Agency Program Expenses
Byrd Hoffman Water Mill Foundation	\$ 916,979	\$ 2,532,159	36.21%
Huntington Township Chamber of Commerce, Inc.	166,983	508,116	32.86%
Babylon Village Youth Project	17,796	62,046	28.68%
Lumiere Ballet Company, Inc.	28,907	108,761	26.58%
The Sunshine Center, Inc.	67,905	277,211	24.50%
Smithtown Township Arts Council, Inc.	28,659	119,258	24.03%
South Fork Natural History Museum	127,665	550,638	23.18%

See Notes to Schedules (p. 25)

Schedule 4

Contract Agency Disclosure Review  
 Contracts with Program Revenue Exceeding Program Expenses  
 by at Least \$20,000  
 For the 2013/2014 Reporting Period

Control Number	Activity Code	Dept.	Contractor Name	Program Name	2013	2013	2013
					Reported Program Revenue	Reported Program Expenses	Reported Program Surplus
22873	GNR1	HSV	Federation of Organizations	Health Home Care Management	\$ 4,221,172	\$ 2,525,993	\$ 1,695,179
22969	HYN1	DSS	Peconic Community Council, Inc.	Maureen's Haven Program	322,436	39,682	282,754
23271	JGV1	EDP	Huntington Township Chamber of Commerce, Inc.	Legislative Cultural Omnibus Grant	515,545	233,168	282,377
23091	JPB1	HSV	Suffolk County Perinatal Coalition	Enhanced Breastfeeding with WIC	397,566	119,696	277,870
22992	JAZ1	HSV	Pederson-Krag Center, Inc.	PROS Smithtown	1,049,590	831,510	218,080
22991	JAY1	HSV	Pederson-Krag Center, Inc.	PROS Coram	2,296,804	2,156,221	140,583
22788	AWF1	DSS	Victims Information Bureau of Suffolk, Inc.	Non-Residential Domestic Violence	616,720	487,060	129,660
22915	GZW1	EDP	Bay Street Theatre Festival Inc.	Legislative Cultural Omnibus Grant	634,324	504,968	129,356
23046	JJW1	EDP	Smithtown Performing Arts Council, Inc.	Cultural Omnibus	140,000	30,000	110,000
22685	ADQ1	PKS	Rocky Point Historical Society	Historical Program	114,421	23,887	90,534
22669	HJN1	EDP	Parrish Art Museum	Cultural Omnibus	166,967	96,251	70,716
22750	APK1	HSV	Sayville Project	Health Home Care Management	1,526,677	1,464,940	61,737
22899	GVB1	EXE	Friends of RSVP of Suffolk County, Inc.	IIIB Telephone Reassurance/Friendly Visiting	121,867	61,200	60,667
22703	AHM1	HSV	Federation of Organizations	ICM Service Dollars and ACM	393,828	338,648	55,180
23045	JJU1	PRO	Berkshire Farm Center and Services for Youth	PINS Diversion Program	284,000	228,996	55,004
23114	JQK1	EXE	Family Life Center	Wyandanch Youth Center	139,931	90,931	49,000
22938	HLL1	DSS	Community Action of Southold Town, Inc. (Cast)	Commodities Distribution	191,321	146,914	44,407
23111	JQH1	HSV	Outreach Development Corporation	Chemical Abuse Treatment	813,949	772,072	41,877
22888	GST1	HSV	Pederson-Krag Center, Inc.	School Support Program	480,417	439,075	41,342
22987	JAU1	HSV	Federation of Organizations	PROS Patchogue	1,418,026	1,376,948	41,078
22945	HOR1	DSS	Our Lady of Lourdes Parish Outreach	Commodities Distribution	78,314	39,010	39,304
22723	AJS7	HSV	Federation Employment and Guidance Service, Inc	Clinic Treatment	4,188,759	4,155,583	33,176
22900	GVD1	EXE	Friends of RSVP of Suffolk County, Inc.	III-D Health Promotion Activities	60,426	31,161	29,265
22930	HHI1	DSS	United Veteran's Beacon House	Shelter Plus Care Grant	214,766	186,684	28,082
22856	GHE1	DSS	Cornell Cooperative Extension of Suffolk County	Food Stamp Nutrition Program	188,741	161,426	27,315
22871	GNK1	DSS	Suffolk County Coalition Against Domestic Violence, Inc.	Non-Residential Domestic Violence Services	622,196	595,211	26,985
22884	GPL1	HSV	Federation Employment and Guidance Service, Inc.	ACT Team	1,205,749	1,179,877	25,872
23113	JQJ1	HSV	Clubhouse of Suffolk, Inc.	Veterans Post Traumatic Stress Disorder	180,000	154,336	25,664
22981	JA01	HSV	Clubhouse of Suffolk, Inc.	Personalized Recovery Oriented Services - Ronkon.	2,355,622	2,330,142	25,480
22581		EXE	Moriches Nutritional Program, Inc.	IIIC-2 Nutrition	157,330	132,690	24,640
22621	GWZ1	EDP	Patchogue Village Center for the Performing Arts	Cultural Omnibus	33,940	10,000	23,940

See Notes to Schedules (p. 25)

Schedule 5

Contract Agency Disclosure Review  
 Agencies with Total Revenues Exceeding Total Expenses  
 by at Least \$100,000  
 For the 2013/2014 Reporting Period

Contractor Name	2013 Reported Agency Revenue	2013 Reported Agency Expenses	2013 Reported Agency Surplus
Stony Brook University Hospital	\$ 1,089,828,605	\$ 1,080,632,137	\$ 9,196,468
BOCES II	324,410,842	318,315,682	6,095,160
John T. Mather Memorial Hospital	276,700,009	271,049,383	5,650,626
Concern for Independent Living	30,818,772	25,970,283	4,848,489
Touro College, Jacob D. Fuchsberg Law Center	445,537,000	441,689,000	3,848,000
Peconic Bay Medical Center	150,435,093	147,145,724	3,289,369
Jewish Association for Services for the Aged	42,345,140	39,542,387	2,802,753
Guild Hall of East Hampton, Inc.	6,705,461	4,069,970	2,635,491
Byrd Hoffman Water Mill Foundation	5,570,147	3,449,138	2,121,009
Catholic Charities of the Diocese of Rockville Centre	41,102,586	39,334,341	1,768,245
Federation Employment and Guidance Service, Inc.	253,215,718	251,683,957	1,531,761
YMCA of Long Island	32,391,104	30,985,628	1,405,476
Federation of Organizations	31,881,925	30,611,830	1,270,095
Parrish Art Museum	6,379,328	5,490,232	889,096
Suffolk County Special Olympics	8,614,268	7,741,262	873,006
Long Island Association of Aids Care	6,670,040	5,805,188	864,852
SCO Family of Services, Inc.	245,061,613	244,320,657	740,956
Brookhaven Memorial Hospital Medical Center	271,817,671	271,117,704	699,967
Project Outreach	16,046,074	15,365,633	680,441
Children's Museum of the East End	2,097,397	1,468,089	629,308
Family Residences & Essential Enterprises, Inc.	88,908,416	88,303,169	605,247
Long Island Coalition for the Homeless, Inc.	1,097,424	625,482	471,942
United Veteran's Beacon House	4,118,454	3,651,314	467,140
Family Service League, Inc.	28,706,421	28,265,368	441,053
Girl Scouts of Suffolk County, Inc.	5,528,732	5,142,481	386,251
Long Island Cares, Inc.	17,911,653	17,547,892	363,761
Bellport Hagerman East Patchogue Alliance, Inc.	1,005,000	699,000	306,000
LongHouse Reserve	1,821,917	1,576,872	245,045
Heckscher Museum	1,707,816	1,476,763	231,053
Suffolk County Coalition Against Domestic Violence, Inc.	1,890,470	1,675,535	214,935
Montauk Historical Society	1,145,624	936,902	208,722
Clubhouse of Suffolk, Inc.	7,733,715	7,538,172	195,543
Performing Arts Center of Suffolk County, Inc	4,274,121	4,094,919	179,202
Southampton Historical Museum	524,420	367,706	156,714
New Community Cinema d/b/a Cinema Arts Centre	2,485,723	2,330,538	155,185
Bridgehampton Historical Society	458,537	314,719	143,818
Sag Harbor Whaling & Historical Museum	301,877	161,752	140,125
Nesconset Center for Nursing & Rehabilitation	35,319,292	35,183,203	136,089
The Perlman Music Program	3,238,114	3,105,658	132,456
Community Program Centers of Long Island, Inc.	5,039,486	4,908,164	131,322
Berkshire Farm Center and Services for Youth	45,569,110	45,440,926	128,184
North Fork Community Theater Inc.	265,164	137,758	127,406
West Islip YES	1,717,822	1,598,737	119,085
Holy Cross Parish	1,113,407	1,000,231	113,176
Hampton International Film Festival	1,554,799	1,446,750	108,049
United Cerebral Palsy	55,977,170	55,870,026	107,144

See Notes to Schedules (p. 25)

Schedule 6

Contract Agency Disclosure Review  
 Agencies with Employee Salaries  
 Greater than \$150,000  
 For the 2013/2014 Reporting Period

Contractor Name	Title	2013 Reported Salary
Berkshire Farm Center and Services for Youth	President & CEO	\$ 270,632
Berkshire Farm Center and Services for Youth	Chief Financial Officer	150,774
Brookhaven Memorial Hospital Medical Center	President & CEO	588,933
Brookhaven Memorial Hospital Medical Center	Senior Development Officer	515,118
Brookhaven Memorial Hospital Medical Center	Vice President Finance & CFO	364,187
Brookhaven Memorial Hospital Medical Center	Interim CMO, Physician	338,500
Brookhaven Memorial Hospital Medical Center	Vice President & COO	280,145
Byrd Hoffman Water Mill Foundation	Managing Director	160,000
Catholic Charities of the Diocese of Rockville Centre	Chief Executive Officer	259,535
Catholic Charities of the Diocese of Rockville Centre	Chief Operating Officer	180,728
Catholic Charities of the Diocese of Rockville Centre	Medical Director	177,467
Catholic Charities of the Diocese of Rockville Centre	Chief Financial Officer	162,382
Concern for Independent Living	Executive Director	238,473
Concern for Independent Living	Senior Associate Executive Director	162,290
Cornell Cooperative Extension of Suffolk County	Executive Director	152,500
EAC, Inc.	President & CEO	299,900
EAC, Inc.	Executive VP & COO	185,400
Eastern Long Island Hospital	President & CEO	523,338
Eastern Long Island Hospital	Physician	375,274
Eastern Long Island Hospital	Chief Financial Officer	284,079
Eastern Long Island Hospital	Vice President Nursing	236,891
Eastern Long Island Hospital	Controller	228,830
Economic Opportunity Council of Suffolk, Inc.	Chief Executive Officer	286,411
Economic Opportunity Council of Suffolk, Inc.	Chief Financial Officer	161,408
Family and Children's Association	President & CEO	169,837
Family Residences & Essential Enterprises, Inc.	Chief Executive Officer	284,039
Family Residences & Essential Enterprises, Inc.	Chief Operating Officer	253,155
Family Residences & Essential Enterprises, Inc.	Strategic Business Officer	204,846
Family Residences & Essential Enterprises, Inc.	Psychiatrist	203,000
Family Residences & Essential Enterprises, Inc.	Chief Financial Officer	202,500
Family Service League, Inc.	President & CEO	212,900
Family Service League, Inc.	Psychiatrist	171,988
Family Service League, Inc.	Executive Vice President	165,000
Family Service League, Inc.	Chief Financial Officer	165,000
Family Service League, Inc.	Vice President Integrated Care	150,000
Federation Employment and Guidance Service, Inc.	Chief Executive Officer	482,436
Federation Employment and Guidance Service, Inc.	Executive Vice President	454,739
Federation Employment and Guidance Service, Inc.	Chief Financial Officer	330,908
Federation Employment and Guidance Service, Inc.	Chief Operating Officer	294,832
Federation Employment and Guidance Service, Inc.	Vice President and General Counsel	263,554
Federation of Organizations	Psychiatrist	280,213
Federation of Organizations	Chief Medical Officer	189,141
Federation of Organizations	Chief Executive Officer	179,433
Federation of Organizations	Psychiatrist	179,039
Federation of Organizations	Chief Operating Officer	171,459
Girl Scouts of Suffolk County, Inc.	President & CEO	193,440
Good Samaritan Hospital Medical Center	Senior Vice President Administrator	876,744
Good Samaritan Hospital Medical Center	Physician	689,186
Good Samaritan Hospital Medical Center	Chairman, Department of Emergency Medicine	660,031
Good Samaritan Hospital Medical Center	Oncologist	654,387
Good Samaritan Hospital Medical Center	Physician	628,862

Schedule 6 (Cont'd)

Contract Agency Disclosure Review  
 Agencies with Employee Salaries  
 Greater than \$150,000  
 For the 2013/2014 Reporting Period

Contractor Name	Title	2013 Reported Salary
Guild Hall of East Hampton, Inc.	Executive Director	220,029
Hands Across Long Island	Psychiatrist	211,916
Heckscher Museum	Executive Director	165,633
Hope for Youth, Inc.	Executive Director	181,322
Huntington Hospital	Medical Director	205,500
Huntington Hospital	Physician	196,813
Huntington Hospital	Physician	192,979
Huntington Hospital	Physician	187,999
Huntington Hospital	Physician	153,692
Island Harvest	President & CEO	167,395
Jewish Association for Services for the Aged	Chief Executive Officer	327,423
Jewish Association for Services for the Aged	Chief Accounting Officer	277,462
Jewish Association for Services for the Aged	Chief Service Officer	179,840
Jewish Association for Services for the Aged	Housing Management Director	179,831
John T. Mather Memorial Hospital	President	572,703
John T. Mather Memorial Hospital	Director of Intensivists	543,814
John T. Mather Memorial Hospital	Director of Interventional Radiology	499,995
John T. Mather Memorial Hospital	SVP Finance & Chief Financial Officer	366,844
John T. Mather Memorial Hospital	Chief Medical Officer	380,448
Long Island Association of Aids Care	President & CEO	244,104
Long Island Association of Aids Care	Chief Operating Officer	150,115
Long Island Cares, Inc.	Executive Director	167,579
Longhouse Reserve	Executive Director	164,608
March of Dimes Foundation	President	508,707
March of Dimes Foundation	Chief Medical Director	382,337
March of Dimes Foundation	Chief Operating Officer	380,231
March of Dimes Foundation	Senior Vice President & General Counsel	285,529
March of Dimes Foundation	Chief Financial Officer	221,924
Maryhaven Center of Hope, Inc.	Chief Executive Officer	463,272
Maryhaven Center of Hope, Inc.	Residential Division Director	150,122
Nesconset Center for Nursing & Rehabilitation	Administrator	183,092
Nesconset Center for Nursing & Rehabilitation	Chief Executive Officer	180,901
Parrish Art Museum	Director	223,712
Peconic Bay Medical Center	Physician	1,031,246
Peconic Bay Medical Center	CEO & President	577,022
Peconic Bay Medical Center	Vice President of Medical Affairs	334,724
Peconic Bay Medical Center	Chief Financial Officer	274,249
Peconic Bay Medical Center	VP Patient Care Services	250,187
Pederson-Krag Center, Inc.	Chief Executive Officer	166,568
Phoenix Houses of Long Island, Inc.	President	632,535
Phoenix Houses of Long Island, Inc.	Secretary	283,918
Phoenix Houses of Long Island, Inc.	Former President	274,571
Phoenix Houses of Long Island, Inc.	Vice President & CFO	266,893
Phoenix Houses of Long Island, Inc.	Vice President / Director of NY Region	212,277
Project Outreach	President	279,652
Project Outreach	Executive Vice President	208,823
Project Outreach	Vice President Finance	181,208
Research Foundation of SUNY Stony Brook for Staller Cent. for the Arts Retreat, Inc.	Director - Staller Center Executive Director	223,460 164,406

Schedule 6 (Cont'd)

Contract Agency Disclosure Review  
Agencies with Employee Salaries  
Greater than \$150,000  
For the 2013/2014 Reporting Period

Contractor Name	Title	2013 Reported Salary
SCO Family of Services, Inc.	Executive Director	307,736
SCO Family of Services, Inc.	Psychiatrist	297,194
SCO Family of Services, Inc.	Medical Services Director	239,932
SCO Family of Services, Inc.	Chief Financial Officer	230,405
SCO Family of Services, Inc.	Psychiatrist	229,904
Stony Brook University Hospital	Chief Executive Officer	632,826
Stony Brook University Hospital	Chief Financial Officer	468,159
Stony Brook University Hospital	Assistant Vice President for Hospital Affairs	270,622
Stony Brook University Hospital	Chief Operating Officer	262,011
Stony Brook University Hospital	Associate Director of Hospital Services	246,337
Suffolk County Special Olympics	President & CEO	162,990
The Long Island Home d/b/a South Oaks Hospital	President & CEO	772,261
The Long Island Home d/b/a South Oaks Hospital	Medical Director	398,726
The Long Island Home d/b/a South Oaks Hospital	Service Director	357,984
The Long Island Home d/b/a South Oaks Hospital	Service Director	350,483
The Long Island Home d/b/a South Oaks Hospital	Service Director	315,684
Touro Law Center	Dean & Professor of Law	330,877
Touro Law Center	Professor of Law	270,950
Touro Law Center	Professor of Law	262,568
Touro Law Center	Professor of Law	237,786
Touro Law Center	Professor of Law	232,654
United Cerebral Palsy	Executive Director	274,044
United Cerebral Palsy	Medical Director	210,133
United Cerebral Palsy	Chief Financial Officer	189,210
Westhampton Beach Performing Arts Center, Inc.	Executive Director	191,078
YMCA of Long Island	President & CEO	288,000
YMCA of Long Island	Executive Vice President	201,667
YMCA of Long Island	Vice President	180,000
YMCA of Long Island	Vice President	180,000
YMCA of Long Island	Executive Director	155,000

See Notes to Schedules (p. 25)

Schedule 7

Contract Agency Disclosure Review  
Requested Contract Amounts  
Less than \$5,000  
For the 2013/2014 Reporting Period

Control Number	Activity Code	Dept.	Contractor Name	Program	2015 Contract Amount Requested	2015 Net Cost to County Requested
22936	HLE1	HSV	Catholic Charities of the Diocese of Rockville Centre	Bay Shore Outreach	\$ 2,676	\$ 2,676
22895	GUS1	HSV	Pederson-Krag Center, Inc.	Case Management Training	4,000	-
23121	N/A	EXE	Woodhaven Home for Adults	III-E Institutional Respite	2,071	518

See Notes to Schedules (p. 25)

Schedule 8

Contract Agency Disclosure Review  
Requested Contract Amounts  
with a Net Cost to County Greater than \$500,000  
For the 2013/2014 Reporting Period

Control Number	Activity Code	Dept.	Contractor Name	2015 Contract Amount Requested	2015 Net Cost to County Requested
22690	AET3	HSV	Catholic Charities of the Diocese of Rockville	\$ 734,155	\$ 734,155
22949	HSD1	EDP	Cornell Cooperative Extension of Suffolk County	579,215	579,215
22953	HSI1	EDP	Cornell Cooperative Extension of Suffolk County	608,599	608,599
22697	AGW1	HSV	Family Service League, Inc.	1,160,626	519,776
22962	HUA1	PRO	Hope for Youth, Inc.	1,072,000	546,720
22714	AIU1	HSV	Huntington Hospital	1,800,000	1,710,000
22663	JQU1	POL	Parent's for Megan Law	783,572	783,572
22737	ANL5	HSV	Pederson-Krag Center, Inc.	922,232	922,232
22738	ANL7	HSV	Pederson-Krag Center, Inc.	1,035,118	510,376
23430		PRO	The Sunshine Center, Inc.	540,000	540,000
22547		FRE	Vocational Extension and Education Board	1,935,733	1,935,733
				<u>\$ 11,171,250</u>	<u>\$ 9,390,378</u>

See Notes to Schedules (p. 25)

**Notes to Schedules**

**Contract Agency Disclosure Form Data  
For the 2015 Budget Evaluation Process**

- (1) Basis of compilation, analysis and reporting: We compiled the Contract Agency Disclosure Form data received in an Access database. Attempts were made, whenever possible, to correct data which was obviously incorrectly reported; however, since this information was reported directly by the contract agencies and oversight departments and was not subject to verification or audit, we do not attest to the reliability of the reported data.
- (2) The following abbreviations were used for various County Departments in our schedules: DPW – Department of Public Works; DSS – Department of Social Services; EDP – Economic Development and Planning; EXE – County Executive; FRE – Fire, Rescue & Emergency Services; HSV – Department of Health Services; LAB – Labor; LAW – Law; PKS – Department of Parks and Recreation; POL – Police; PRO – Department of Probation.
- (3) Data received from for-profit contract agencies was included in the database, but was not reported on Schedules 3 through 6 due to confidentiality reasons.
- (4) This record was received after September 24, 2014 and was not included in our database analysis. The due date for submission was August 15, extensions were granted until September 15, 2014 and all Disclosure Forms received on or before September 24, 2014 were included in the database.